

The Five Safes as a Privacy Context

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Motivations

- National Statistical Offices (NSOs) are modernizing their data protection.
- Example: US 2020 Census protected by **differential privacy** (DP).
- What is DP?

A large family of technical methods (see technical specifications)

NSOs are interested in DP because it is a principled way to protect individual privacy while still allowing for useful data analysis.

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A large family of technical standards (i.e. mathematical specifications) that conceptualizes the **loss of privacy** as a **rate of change**: the change in the (distribution of) the output statistics **per unit change** of an individual's information.

- Troubles in implementation:
 - **Complexity**
 - **Unclear requirements**
 - **Unclear goals**
 - **Unclear metrics**
 - **Unclear methods**
 - **Unclear when choosing which DP**

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- Troubles in implementation: **no** simple recipe to be understood when choosing which DP method to use

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- Troubles in implementation: **how to choose** the **right** DP method, **when** choosing which DP method to use

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Two main points

1. The Five Safes is a **reparametrization of Contextual Integrity** in the situation where the information flow is a statistical dissemination;
2. The Five Safes provides a **context for Differential Privacy** as a framework for controlling the disclosure risk of statistical dissemination.

The Five Safes³

Safe People
Safe Projects
Safe Settings
Safe Data
Safe Outputs

³See e.g. Australian Bureau of Statistics, Statistics New Zealand, Office for National Statistics (UK)

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Mapping the Five Safes to CI in statistical dissemination

The two types of information flow in statistical dissemination:

data → **people** (researchers) (1)

outputs → **people** (general public) (2)

Ex 1. Open Data: public use data files

Ex 2. Data enclaves:

- Physical: Federal Statistical Research Data Center (US); Canadian Research Data Centre Network (StatCan)
- Virtual: DataLab (Australian Bureau of Statistics); Real Time Remote Access (StatCan)

Ex 3. Synthetic data + validation server

e.g. U.S. Census Bureau Survey of Income and Program Participation (SIPP) Synthetic Beta + validation through Gold Standard File

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| Privacy norm parameters | Their meanings in statistical dissemination |
|-------------------------|--|
| sender | statistical agencies/NSOs/data custodians |
| recipient | people : researchers (1) and general public (2) |
| subject | is a component of data (1) |
| information type | is a component of data (1) and outputs (2) |
| transmission principles | encompass projects , settings , and more |

DP in the context of the Five Safes

1. DP pertains to **some aspects** of Safe Outputs and Safe Data and is **silent on other** aspects.
2. DP does not purport to assess Safe People, Projects or Settings.
3. The Five Safes is a solution concept for implementing DP in a way that respects contextual integrity.

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Five Components of DP → Safe Data & Outputs

- The protection domain (**what** can be protected?): as defined by the dataset space \mathcal{X} ;
- The scope of protection (**to where** does the protection extend?): as instantiated by the data multiverse \mathcal{D} , which is a collection of data universes $\mathcal{D} \subset \mathcal{X}$;
- The protection units (**who** are the units for data perturbation?): as conceptualized by the divergence $d_{\mathcal{X}}$ on the dataset space \mathcal{X} ;
- The standard of protection (**how** to measure the output variations?): as captured by the divergence $d_{\mathcal{T}}$ on (the probability distributions on) the output space \mathcal{T} ;
- The intensity of protection (**how much** protection is afforded?): as quantified by the privacy-loss budget $\epsilon_{\mathcal{D}}$ for each data universe \mathcal{D} .